Ukraine Additional Financing for the Public Expenditures For Administrative Capacity Endurance (AF for PEACE) In Ukraine (P179344)

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

June 18, 2022

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

- 1. Ukraine (the Borrower and/or Recipient, hereinafter referred to as "Recipient") shall implement the Additional Financing for the Public Expenditures For Administrative Capacity Endurance (AF for PEACE) In Ukraine (the Project), with the involvement of the Ministry of Finance (MoF) as set out in the Financing Agreement and Loan Agreement respectively. The International Bank for Reconstruction and Development (the Bank) and the International Development Association (the Association) (collectively the "World Bank") have each agreed to provide financing for the Project, as set out in the referred agreement(s).
- 2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the World Bank. The ESCP is a part of the Financing Agreement and Loan Agreement respectively. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreements.
- 3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the World Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement of the World Bank.
- 4. As agreed by the World Bank and the Recipient, this ESCP shall be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient and the World Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the World Bank and the MoF. The Recipient shall promptly disclose the updated ESCP.

| MATER | IIAL MEASURES AND ACTIONS | TIMEFRAME | RESPONSIBLE ENTITY | | |
|--------------------------|---|--|--------------------|--|--|
| MONITORING AND REPORTING | | | | | |
| A | REGULAR REPORTING Prepare and submit to the World Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S instruments required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s). | Biannual reports shall be submitted to the World Bank throughout Project implementation, commencing after the Effective Date. Each report to be submitted the World Bank no later than 15 days after the end of each reporting period. | the MoF | | |
| В | INCIDENTS AND ACCIDENTS Promptly notify the World Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate. Subsequently, at the World Bank's request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence. | Notify the World Bank no later than 48 hours after learning of the incident or accident. Provide subsequent report to the World Bank within a timeframe acceptable to the World Bank. | the MoF | | |
| ESS 1: | ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS | | | | |
| 1.1 | ORGANIZATIONAL STRUCTURE MoF shall identify an environmental and social (ES) specialist as focal point to support the management of ESHS risks and impacts of the Project. | Appoint the ES specialist not later than thirty (30) days after the Effective Date and retain said specialist throughout Project implementation. | the MoF | | |
| 1.2 | ENVIRONMENTAL AND SOCIAL ASSESSMENT Assess the roles and sectors for which salaries will be paid and identify eligibility criteria (negative and positive lists) to which World Bank financing will be applied. Only Eligible Employees (e.g., civil service, government staff and school employees in non-security sector - excluding those who have temporarily joined the military or territorial defense units and military and excluding military schools and schools with enhanced military-physical training) are eligible for payment. Describe these eligibility criteria in the Project Operations Manual (POM) and provide monthly summary reports to verify distribution and receipt of salary payments. | Eligibility criteria to be included in the POM not later than the Effective Date. Assessment and reporting to be undertaken on a monthly basis prior to disbursement of funds | the MoF | | |
| ESS 2: | LABOR AND WORKING CONDITIONS | | | | |
| 2.1 | LABOR MANAGEMENT PROCEDURES | Review and include a summary of | the MoF | | |

| MATER | IAL MEASURES AND ACTIONS | TIMEFRAME | RESPONSIBLE ENTITY |
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| | Eligible Employees will be governed by the terms and conditions of their existing | emergency OHS guidelines to be | |
| | contractual arrangements. Eligible Employees receiving salaries financed by the Project | included in the POM not later than the | |
| | are beneficiaries of the project and will continue under their existing employment | Effective Date | |
| | conditions. Guidance on occupational health and safety, including information on | | |
| | survivor-centric SEA/SH services available in country, will be included POM and will be | | |
| | reviewed for consistency with ESS2. | / | |
| ESS 3: | RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT | | |
| | Not relevant. | Not relevant | Not relevant |
| ESS 4: | COMMUNITY HEALTH AND SAFETY | | |
| | Not relevant. | Not relevant | Not relevant |
| ESS 5: | LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT | | |
| | Not relevant. | Not relevant | Not relevant |
| ESS 6: | BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RE | SOURCES | |
| | Not relevant. | Not relevant | Not relevant |
| ESS 7: I | NDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONA | AL LOCAL COMMUNITIES | |
| | Not relevant. | Not relevant | Not relevant |
| ESS 8: 0 | CULTURAL HERITAGE | | |
| | Not relevant. | Not relevant | Not relevant |
| ESS 9: F | FINANCIAL INTERMEDIARIES | | |
| | Not relevant. | Not relevant | Not relevant |
| ESS 10: | STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE | | |
| 10.1 | STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION | Adopt SEP mechanism not later than two | the MoF |
| | Adopt and implement a Stakeholder Engagement Plan (SEP) has been disclosed and | weeks after the Effective Date and | |
| | will be adopted and implemented for the Project, consistent with ESS10. It includes | thereafter implement the SEP | |
| | measures to, inter alia, provide stakeholders with timely, relevant, understandable and | throughout Project implementation | |
| | accessible information, and consult with them in a culturally appropriate manner, which | further details to be reflected in the | |
| | is free of manipulation, interference, coercion, discrimination and intimidation. | POM to be adopted not later than the | |
| | | Effective Date | |
| 10.2 | PROJECT GRIEVANCE MECHANISM | Establish the Grievance Mechanism two | the MoF |
| | Establish, publicize, maintain, and operate an accessible grievance mechanism, to | weeks after the Effective Date and | |
| | receive and facilitate resolution of concerns and grievances in relation to the Project, | maintain throughout Project | |
| | promptly and effectively, in a transparent manner that is culturally appropriate and | implementation | |
| | readily accessible to all Project-affected parties, at no cost and without retribution, | | |
| | including concerns and grievances filed anonymously, in a manner consistent with | | |
| | ESS10. | | |
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| MATERIAL MEASURES AND ACTIONS | | TIMEFRAME | RESPONSIBLE ENTITY | | |
|-------------------------------|---|--|--------------------|--|--|
| | The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner. | | | | |
| CAPACITY SUPPORT | | | | | |
| CS1 | Undertake a review of training needs and prepare a training plan in the event that additional project activities with environmental and social assessment and management requirements are identified during implementation. Current activities do not warrant a training plan. | During project implementation in the event that addditional project activities are assessed to warrant such a plan | the MoF | | |